

UTILITY APPLICATION TRANSMITTAL LETTER (Small Entity)**INVENTOR(S)**

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Serial Number: **UNASSIGNED**Art Unit: **UNASSIGNED**Filing Date: **December 1, 2000**Examiner: **UNASSIGNED**Title: **Electronic Purchase and Sale of Securities System and Method****TO THE ASSISTANT COMMISSIONER FOR PATENTS**

Transmitted herewith is a U.S. Utility Patent Application comprising:

19 pages of Specification including 12 Claims (2 independent, 10 dependent),
1 Page Abstract

11 Sheets of Drawings

☐ Other

☒ This application claims the benefit of U.S. Provisional Application No. 60/168,185, Filed December 1, 1999

☐ Fees accompany application in the following amount and description

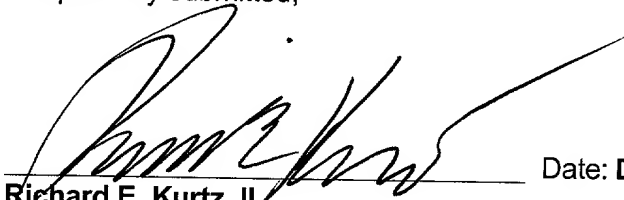
☒ No fees accompany this application.

☒ Applicant Claims the benefit of Small Entity Status

☐ The Commissioner is hereby authorized to charge any additional fees associated with this communication under 37 C.F.R. § 1.16 and 1.17, or credit any overpayment to **Deposit Account No. 50-0653**.

☐ The Commissioner is hereby authorized to charge Patent filing fees and processing fees to **Deposit Account No. 50-0653**

Respectfully submitted,



Date: **December 1, 2000**

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